MARK J. BENNETT 2672 Attorney General of Hawaii

2009 OCT 28 PM 2: 02

HERBERT B.K. LAU 2591
Deputy Attorney General
Department of Attorney
General, State of Hawaii
Labor Division
425 Queen Street
Honolulu, Hawaii 96813
Telephone: 586-1450

HAWAII LABOR RELATIONS BOARD

Attorneys for Director of Labor and Industrial Relations

STATE OF HAWAII

HAWAII LABOR RELATIONS BOARD

In the Matter of DIRECTOR, DEPARTMENT OF LABOR AND INDUSTRIAL RELATIONS,) CASE NO. OSH 2009-29) (Inspection No. 311436570)
Complainant,	STIPULATION AND SETTLEMENTAGREEMENT; EXHIBIT A; APPROVALAND ORDER
vs.	Ó
BOLTON, INC.,))
Respondent.)))
	,

STIPULATION AND SETTLEMENT AGREEMENT

Complainant Director of Labor and Industrial Relations ("Director") and Respondent BOLTON, INC. ("Respondent") having reached a full and complete settlement of the above-captioned contested case presently pending before the Hawaii Labor Relations Board ("Board") stipulate and agree as follows:

On or about May 4, 2009, the Director, by and through the State of Hawaii's Occupational Safety and Health Division ("HIOSH"), inspected Respondent's workplace located at 72-434 Nukume'ome'o Place, Kailua-Kona, Hawaii, 96740.

As a consequence of said inspection, the Director, through HIOSH's Administrator, issued a Citation and Notification of Penalty on May 4, 2009 ("Citation") to Respondent alleging violations of the Hawaii Occupational Safety and Health Standards and assessed an aggregate penalty of \$2,250.00. See Exhibit A.

Respondent timely contested the Citation.

THEREFORE, to avoid the expense of litigation and to compromise and settle the above-captioned case, the parties, by and through their respective representatives, stipulate and agree as follows:

- 1. The Board has jurisdiction over this contested case pursuant to section 396-11, Hawaii Revised Statutes ("HRS").
- 2. At all relevant times, Respondent maintained a workplace at 72-434 Nukume'ome'o Place, Kailua-Kona, Hawaii, 96740.
- 3 At all relevant times, Respondent was an employer, as defined in HRS § 396-3, and employed employees, as defined in HRS § 396-3, and was therefore subject to the requirements of HRS chapter 396, the Hawaii Occupational Safety and Health Law.
- 4. Respondent has abated each violation listed in the Citation in compliance with the abatement requirements under Hawaii Administrative Rule § 12-51-22.
- 5. The violations and corresponding characterizations as stated in the Citation are affirmed. See Exhibit A.
- 6. The Director reduces the aggregate penalty from \$2,250.00 to \$1,575.00 which shall be paid in full to the Director of Budget and Finance upon the execution of this Agreement. If Respondent fails to fulfill any condition of this Agreement within the time stated, then, Respondent shall automatically and without further notice be liable for the entire original penalty in the amount of \$2,250.00. All amounts shall be immediately due and owing. If

Respondent fails to pay all penalties and the Director institutes legal action to collect on the outstanding amount due, Respondent agrees to pay all fees and costs, including reasonable attorney's fees, incurred to collect on the unpaid penalties. Any forbearance by the Director in exercising any right or remedy shall not be a waiver of or preclude the exercise of any right or remedy.

- 7. Except for the stipulated amendment described above, the Citation is confirmed in all other respects and upon approval by the Board, this Agreement and the Citation, as amended, shall become a final order of the Director.
- 8. Respondent shall post a copy of this Agreement and Order in a prominent place at or near the location of the posting of the Citation, and the Agreement and Order shall remain posted for three working days (excluding weekends and State holidays).
- 9. Respondent shall continue to comply with HRS chapter 396, the Hawaii Occupational Safety and Health Law, and the related rules.
- 10. Nothing in this Agreement shall bar the Director from taking any action regarding future acts or practices by Respondent which may be alleged to violate HRS chapter 396, the Hawaii Occupational Safety and Health Law, or the related rules.
- 11. Upon approval of this Agreement, all proceedings before the Board shall be dismissed.

DATED: Honolulu, Hawaii, October 328; 320093

BOLTON, INC.

APPROVED AS TO FORM:

DIRECTOR OF LABOR AND INDUSTRIAL RELATIONS

DARWIN L.D. CHING

HERBERT B.K. LAU

Deputy Attorney General

Attorney for Director of Labor and Industrial Relations, State of Hawaii

APPROVED AND SO ORDERED BY HAWAILLABOR RELATIONS BOARD:

ORDER NO. 359

DATED: October 28, 2009

JAMES B. NICHOLSON, Chair

EMORY J. SPRINGER, Member

/ Muh ll. e (Mullum) SARAH R. HIRAKAMI Member

Department of Labor and Industrial Relations HAWAII OCCUPATIONAL SAFETY AND HEALTH DIVISION 830 PUNCHBOWL STREET, ROOM 425

HONOLULU, HI 96813

Phone: (808)586-9110

FAX: (808)586-9104

Certified Number: 7005 1820 0003 3812 2327



Citation and Notification of Penalty

To:

Bolton Inc and its successors

P O Box 898

Kailua Kona, HI 96745

Inspection Site:

72-434 Nukume'ome'o Pl Kailua Kona, HI 96740

Inspection Number: 311436570 (Charles

Clark)

Inspection Date(s):

Issuance Date: OSHCO ID:

X8851

Optional Report No.: 03009 **Inspection Type:**

Referral

05/04/2009

Scope of Inspection:

Partial Inspection

02/19/2009- 02/19/2009

The violation(s) described in this Citation and Notification of Penalty is (are) alleged to have occurred on or about the day(s) the inspection was made unless otherwise indicated within the description given below.

This Citation and Notification of Penalty describes violations of the Hawaii Occupational Safety and Health Law. The penalty(ies) listed herein is (are) based on these violations. You must abate the violations referred to in this Citation by the dates listed and pay the penalties, unless within 20 calendar days from your receipt of this Citation and Notification of Penalty, you mail a notice of contest to the State of Hawaii Occupational Safety and Health Division (HIOSH) at the address shown above. Please refer to the enclosed booklet (Employer Rights and Responsibilities Following a HIOSH Inspection) which outlines your rights and responsibilities and which should be read in conjunction with this form. Issuance of this Citation does not constitute a finding that a violation of the Law has occurred unless there is a failure to contest as provided for in the Law or, if contested, unless this Citation is affirmed by the Hawaii Labor Relations Board (HLRB) or a court.

Posting - The law requires that a copy of this Citation and Notification of Penalty be posted immediately in a prominent place at or near the location of the violation(s) cited herein, or, if it is not practicable because of the nature of the employer's operations, where it will be readily observable by all affected employees. This Citation must remain posted until the violation(s) cited herein has (have) been abated, or for 3 working days (excluding weekends and State holidays), whichever is longer. The penalty dollar amounts need not be posted and may be marked out or covered up prior to posting.

053

Informal Conference - An informal conference is not required. However, if you wish to have such a conference you may request one with the Administrator during the 20 calendar day contest period. During such an informal conference, you may present any evidence or views which you believe would support an adjustment to the citation(s) and/or penalty(ies).

If you are considering a request for an informal conference to discuss any issues related to this Citation and Notification of Penalty, you must take care to schedule it early enough to allow time to contest after the informal conference, should you decide to do so. Please keep in mind that a written letter of intent to contest must be submitted to the Administrator within 20 calendar days of your receipt of this Citation. The running of this contest period is not interrupted by an informal conference.

If you decide to request an informal conference, please complete, remove and post the page 5, Notice to Employees, next to this Citation and Notification of Penalty as soon as the time, date, and place of the informal conference have been determined. Be sure to bring to the conference any and all supporting documentation of existing conditions as well as any abatement steps taken thus far. If conditions warrant, we can enter into an informal settlement agreement which amicably resolves this matter without litigation or contest.

Employers' Right to Contest - You have the right to contest this Citation and Notification of Penalty. You may contest all citation items or only individual items. You may also contest penalties and/or abatement dates without contesting the underlying violations. <u>Unless you inform the Administrator in writing that you intend to contest the citation(s) and/or penalty(ies) within 20 calendar days after receipt, the citation(s) and the penalty(ies) will become a final order of the Department of Labor and Industrial Relations and may not be reviewed by any court or agency. Once a letter of contest is received, it becomes the jurisdiction of the HLRB.</u>

Penalty Payment - Penalties are due within 20 calendar days of receipt of this notification unless contested. Make your check or money order payable to "Director of Budget and Finance." Please indicate the Inspection Number on the remittance.

HIOSH does not agree to any restrictions or conditions or endorsements put on any check or money order for less than the full amount due, and will cash the check or money order as if these restrictions, conditions, or endorsements do not exist.

Notification of Corrective Action - For each violations which you do not contest, you are required by Section 12-51-22 to submit an Abatement Certification to HIOSH. The certification <u>must</u> be sent by you within <u>5 calendar days</u> of the abatement date indicated on the citation. For Willful and Repeat violations, documents (examples: photos, copies of receipts, training records, etc.) demonstrating that abatement is complete must accompany the certification. Where the citation is classified as Serious and the citation states that abatement documentation is required, documents such as those described above are required to be submitted along with the abatement certificate. If the citation indicates that the violation was corrected during the inspection, no abatement certification is required for that item.

All abatement verification documents must contain the following information: 1) Your name and address; 2) the inspection number (found on the front page); 3) the citation and citation item number(s) to which the submission relates; 4) a statement that the information is accurate; 5) the signature of the employer or employer's authorized representative; 6) the date the hazard was corrected; 7) a brief statement of how the hazard was corrected; and 8) a statement that affected employees and their representatives have been informed of the abatement.

The law also requires a copy of all abatement verification documents, required by Section 12-51-22 to be sent to HIOSH, also be posted at the location where the violation appeared and the corrective action took place.

054

Employer Discrimination Unlawful - The law prohibits discrimination by an employer against an employee for filing a complaint or for exercising any rights under this Act. An employee who believes that he/she has been discriminated against may file a complaint no later than 60 days after the discrimination occurred with the Hawaii Occupational Safety and Health Division at the address shown above.

Employer Rights and Responsibilities - The enclosed booklet (Employer Rights and Responsibilities Following a HIOSH Inspection) outlines additional employer rights and responsibilities and should be read in conjunction with this notification.

Employees' Right to Contest - The law gives an employee or his/her representative the opportunity to object to any abatement date set for a violation if he/she believes the date to be unreasonable. The contest must be mailed to the Hawaii Occupational Safety and Health Division at the address shown above and postmarked within 20 calendar days of the receipt by the employer of this Citation and Notification of Penalty.

Inspection Activity Data - You should be aware that OSHA publishes information on inspection and citation activity on the Internet under the provisions of the Electronic Freedom of Information Act. The information related to your inspection will be available 30 calendar days after the Citation Issuance Date. You are encouraged to review the information concerning your establishment at WWW.OSHA.GOV. If you have any dispute with the accuracy/of the information displayed, please contact this ofice.

ABATEMENT CERTIFICATION

DARWIN L.D. CHING, DIRECTOR
DEPARTMENT OF LABOR AND INDUSTRIAL RELATIONS
HAWAII OCCUPATIONAL SAFETY AND HEALTH DIVISION
830 PUNCHBOWL STREET, ROOM 425
HONOLULU, HI 96813

Bolton Inc P O Box 898 Kailua Kona, HI 96745

The hazard reference	hazard referenced in Inspection Number for the		he violation identified as	41	
Citation	and Item	was corrected	on		
How corrected:			_		•
	ed in Inspection Number				
Citation	and Item	was corrected	on		
How corrected:					<u> </u>
The hazard reference	d in Inspection Number		for	the violation identified as	
Citation	and Item	was corrected	on	,	
How corrected:					·
The hazard reference	d in Inspection Number		for		
Citation	and Item	was corrected	on	•	
How corrected:					•
	d in Inspection Number				
Citation	and Item	was corrected	on		
How corrected:				· · · · · · · · · · · · · · · · · · ·	
I attest that the info		document is accur	rate	and that the affected employ	
Signature	·	·			
Typed or Printed Nar	ne				

Department of Labor and Industrial Relations Hawaii Occupational Safety and Health Division



NOTICE TO EMPLOYEES OF INFORMAL CONFERENCE

An informal conference has been scheduled with HIOSH to discuss the citation(s) issued on
05/04/2009. The conference will be held at the HIOSH office located at 830 PUNCHBOWL
STREET, ROOM 425, HONOLULU, HI, 96813 on at
Employees and/or representatives of employees have a right to attend an informal conference.

Department of Labor and Industrial Relations Hawaii Occupational Safety And Health Division Inspection Number: 311436570

Inspection Dates: 02/19/2009-02/19/2009

Issuance Date: 05/04/2009



Citation and Notification of Penalty

Company Name:

Bolton Inc

Inspection Site:

72-434 Nukume'ome'o Pl, Kailua Kona, HI 96740

Citation 1 Item 1 Type of Violation: Serious

29 CFR 1926.100(a) [Refer to chapter 12-114.2, HAR] was violated because:

An employee standing next to a Bomag Compactor that was being lowered into the area by a Komatsu excavator was not wearing a protective helmet. The lack of a protective helmet exposed the employee to potential serious injuries due to struck-by hazards.

29 CFR 1926.100(a) states "Employees working in areas where there is a possible danger of head injury from impact, or from falling or flying objects, or from electrical shock and burns, shall be protected by protective helmets."

Location: Site

Date By Which Violation Must be Abated:

Corrected

Penalty:

\$ 1,125.00

Department of Labor and Industrial Relations Hawaii Occupational Safety And Health Division Inspection Number: 311436570

Inspection Dates: 02/19/2009-02/19/2009

Issuance Date: 05/04/2009



Citation and Notification of Penalty

Company Name:

Bolton Inc

Inspection Site:

72-434 Nukume'ome'o Pl, Kailua Kona, HI 96740

The alleged violations below (2a & 2b) have been grouped because they involve similar or related hazards that may increase the potential for injury or illness.

Citation 1 Item 2a Type of Violation: Serious

29 CFR 1926.251(e)(1) [Refer to chapter 12-122.2, HAR] was violated because:

A synthetic web sling being used to lift a Bomag compactor by a Komatsu excavator was not marked to indicate the name of the manufacturer, rated capacities for the different type of hitches, or the type of material.

29 CFR 1926.251(e)(1) states "The employer shall have each synthetic web sling marked or coded to show:

- (i) Name or trademark of manufacturer.
- (ii) Rated capacities for the type of hitch.
- (iii) Type of material."

Location: Site

Date By Which Violation Must be Abated:

Penalty:

\$ 1,125.00

Department of Labor and Industrial Relations Hawaii Occupational Safety And Health Division Inspection Number: 311436570

Inspection Dates: 02/19/2009-02/19/2009

Issuance Date: 05/04/2009



Citation and Notification of Penalty

Company Name:

Bolton Inc

Inspection Site:

72-434 Nukume'ome'o Pl, Kailua Kona, HI 96740

Citation 1 Item 2b Type of Violation: Serious

29 CFR 1926.251(e)(8)(iii) [Refer to chapter 12-122.2, HAR] was violated because:

A synthetic web sling that was being used to lift a Bomag compactor by a Komatsu excavator was severely damaged with snags, punctures, tears, and cuts and was not removed from service.

29 CFR 1926.251(e)(8)(iii) states "Removal from service. Synthetic web slings shall be immediately removed from service if any of the following conditions are present: Snags, punctures, tears or cuts."

Location: Site

Date By Which Violation Must be Abated:

Corrected

DARWIN L.D. CHING

Director